

# Revealing the Low Temperature Phase of FAPbI<sub>3</sub> using Machine-Learned Potential

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FAPbI<sub>3</sub> is a material of interest for its potential in solar cell applications, driven by its remarkable optoelectronic properties. However, the low-temperature phase of FAPbI<sub>3</sub> remains poorly understood, with open questions surrounding its crystal structure, octahedral tilting, and the arrangement of formamidinium (FA) cations. Using our trained machine-learned potential in combination with large-scale molecular dynamics simulations, we provide a detailed investigation of this phase, uncovering its structural characteristics and dynamical behavior. Our analysis reveals the octahedral tilt pattern and sheds light on the rotational dynamics of FA cations in the low temperature phase. Strikingly, we find that the FA cations become frozen in a metastable configuration, unable to reach the thermodynamic ground state. By comparing our simulated results with experimental nuclear magnetic resonance (NMR) and inelastic neutron scattering (INS) spectra, we demonstrate good agreement, further validating our findings. This phenomenon mirrors experimental observations and offers a compelling explanation for the experimental challenges in accessing the true ground state. These findings provide critical insights into the fundamental physics of FAPbI<sub>3</sub> and its low-temperature behavior, advancing our understanding of this technologically important material.

## INTRODUCTION

Perovskite solar cells are recognized as promising optoelectronic devices due to their band gap favorably matching the solar spectrum [1–5]. Among various materials, hybrid halide perovskites, particularly methylammonium lead iodide (MAPbI<sub>3</sub>) and formamidinium lead iodide (FAPbI<sub>3</sub>), have attracted significant attention for next-generation photovoltaics. Their efficiency has rapidly increased beyond 25% since their initial application [2, 5, 6]. However, stability issues remain a major limitation, driving research into their crystal structure dynamics and phase stability [7–11]. Previous studies have highlighted the crucial role of rotational dynamics of organic cations and octahedral tilting in hybrid halide perovskites, influencing not only phase stability but also carrier lifetimes and overall device performance. Neglecting these dynamics can lead to misinterpretations in experimental studies, particularly for techniques sensitive to local structural variations [12–16].

FAPbI<sub>3</sub> has emerged as a preferred choice for photovoltaic thin films due to superior optoelectronic properties [6]. At room temperature, it adopts a cubic structure, transitioning to the tetragonal  $\beta$ -phase below 285 K, and further to the  $\gamma$ -phase at 150 K [5, 6, 11, 17, 18]. Notably, ambiguity persists regarding the nature of the low-temperature  $\gamma$ -phase, with several experimental studies suggesting possible structural disorder [5, 6, 11, 13, 17, 18]. However, the exact nature of this disorder remains unresolved. In this work, given the need for a detailed understanding of the low-temperature crystal structure and FA dynamics, we employ atomic-scale simulations to investigate the microscopic behavior of the  $\gamma$ -phase.

Computational studies of halide perovskite structures face challenges due to the strong anharmonicity of these

materials and the rotational degrees of freedom of the organic cations. Conventional static calculations provide limited insight while perturbative approaches are hindered by the strong anharmonicity, necessitating molecular dynamics (MD) simulations to capture finite-temperature effects. However, ab-initio MD simulations are computationally expensive, restricting access to long timescales and large system sizes. Recently, machine-learned interatomic potentials have emerged as powerful tools for studying halide perovskite dynamics, enabling efficient sampling without compromising accuracy [7, 19–24].

Here, we employ a machine-learned interatomic potential recently developed for the MA<sub>1-x</sub>FA<sub>x</sub>PbI<sub>3</sub> system [25], based on the fourth-generation neuroevolution potential (NEP) framework [26, 27], to analyze the atomic scale dynamics of FAPbI<sub>3</sub> via MD simulations. Notably, the machine-learned potential accurately reproduces all known phases of FAPbI<sub>3</sub> reported in the literature [5, 6, 11, 17, 18]. We first identify the ground-state structure as  $a^-b^-b^-$  in Glazer notation [28]. We then analyze octahedral tilting and FA molecular orientation across different phases. Our simulations reveal that the low-temperature phase exhibits an  $a^-a^-c^+$  structure due to kinetic trapping in a metastable state during cooling. To understand this phenomenon, we further investigate the complex dynamics of organic cations, their correlations, and the associated free energy landscape.

## METHODS

### MD simulations

MD simulations were carried out using the GPUMD package with a time-step of 0.5 fs. We use a NEP trained for a mixed  $\text{FA}_{1-x}\text{MA}_x\text{PbI}_3$  system as described in Ref. 25. The potential was trained against density functional theory (DFT) data generated using the SCAN+rVV10 functional [29]. The reference data comprised a wide range of configurations representing both  $\text{FAPbI}_3$ ,  $\text{MAPbI}_3$ , and mixtures thereof. The model, as well as the training data, are available on zenodo (<https://doi.org/10.5281/zenodo.14992798>). We employed the Bussi-Donadio-Parrinello thermostat [30] and the stochastic cell rescaling (SCR) barostat [31] method to control the temperature and pressure, respectively. A system of 49 152 atoms was chosen to avoid finite size effects [32]. We ran heating and cooling MD simulations in the NPT ensemble within 0 to 350 K temperature span with different heating and cooling rates. Further details on the MD analyses, including structural and dynamical characterizations, are presented in the Results section.

### NMR measurements

In order to determine the local environment of FA in the  $\gamma$ -phase of  $\text{FAPbI}_3$ , we carried out low-temperature magic angle spinning (MAS) solid-state  $^{13}\text{C}$  and  $^{15}\text{N}$  nuclear magnetic resonance (NMR) measurements on single crystals of 3D perovskite  $\text{FAPbI}_3$ .

$\text{FAPbI}_3$  single crystals were fabricated following a previously published protocol [33]. Briefly, a 1 M solution of formamidinium iodide (687.9 mg, 4 mmol; >99.99%, Greatcell Solar Materials) and lead(II) iodide (1844.0 mg, 4 mmol; 99.99% trace metal basis, Tokyo Chemical Industries) in 4 mL  $\gamma$ -butyrolactone (Alfa Aesar) was prepared. The solution was stirred at 60 °C for 4 hours, then filtered with a 25 mm diameter, 0.45  $\mu\text{m}$  pore glass microfibre filter. The filtrate was placed in a vial and heated in an oil bath undisturbed at 95 °C for 4 hours until small crystals formed. The crystals were then dried in a vacuum oven at 180 °C for 45 minutes. All synthetic work besides drying was conducted in an  $\text{N}_2$  glovebox.

MAS NMR spectroscopy was carried out using a commercial Bruker Avance Neo 400 MHz spectrometer equipped with an LTMAS 3.2 mm Bruker  $^1\text{H}/\text{X}/\text{Y}$  triple-resonance probe. All measurements were conducted at approximately 95 K using an 8 kHz MAS spin rate. For both  $^{13}\text{C}$  and  $^{15}\text{N}$  measurements, a  $^1\text{H}$ -X cross-polarisation (CP) MAS pulse sequence was used.  $\gamma$ -Glycine was used to calibrate the  $^1\text{H}$ ,  $^{13}\text{C}$ , and  $^{15}\text{N}$  radiofrequency field amplitudes (60, 40, and 140 kHz, respectively) and CP contact times (1 ms and 3 ms for  $^1\text{H}$ -

$^{13}\text{C}$  and  $^1\text{H}$ - $^{15}\text{N}$ , respectively), and to reference  $^{13}\text{C}$  and  $^{15}\text{N}$  chemical shifts (174.9 ppm for  $^{13}\text{C}$  of C=O; 32.9 ppm for  $^{15}\text{N}$ ).  $^1\text{H}$  decoupling at an RF field of 60 kHz was used during acquisition in all measurements. We summarise the experimental parameters for all NMR measurements reported here in Table S2.

Immediately prior to measurement, the crystals were gently crushed and heated at 150 °C on a hot plate to ensure they were in the 3D  $\text{FAPbI}_3$   $\alpha$ -phase. These crushed crystals were packed inside a 3.2 mm sapphire rotor. The same packed rotor was used for all measurements reported here. The crystals were rapidly cooled (*freeze*) from 298 K to 95 K at a rate of 5000–10000  $\text{K min}^{-1}$  by inserting the rotor into the probe at 95 K. Between each measurement, the crystals were rapidly warmed to 298 K by ejecting the rotor into ambient air, where it was kept for at least 5 minutes before the next cooling cycle. Notably, prior to the first measurements (*freeze 1*) the rotor had been cooled and heated in this manner several times. We therefore discount a difference between the first and subsequent quenching events as the source of observed  $^{15}\text{N}$  spectral differences between *freeze 1*, *freeze 2*, and *freeze 3*.

### Calculation of $^{15}\text{N}$ Chemical Shifts

First-principles calculations of  $^{15}\text{N}$  chemical shifts were performed using DFT with the QUANTUM ESPRESSO [34, 35] package, employing the Perdew-Burke-Ernzerhof exchange-correlation functional and the gauge-including projected augmented wave method [36, 37].

Calculations were performed for two types of structures: the ground-state  $a^-b^-b^-$  structure and three representative configurations of the cooled  $a^-a^-c^+$  structure. In the latter case, atomic configurations for shielding calculations were extracted from molecular dynamics cooling simulations conducted in a 96-atom supercell. We set the plane-wave energy cutoff of 80 Ry for wavefunctions and 640 Ry for the charge density. We used a  $\Gamma$ -centered  $2 \times 2 \times 2$   $k$ -point grid for Brillouin zone sampling.

To relate the computed trace of the shielding tensor  $\sigma_{\text{calc}}$  to experimental  $^{15}\text{N}$  chemical shifts  $\delta_{\text{exp}}$ , an empirical scaling was applied based on reference data [38]. The scaling was performed via linear regression of computed shieldings against experimentally measured chemical shifts from LGLUAC11, GLUTAM01, BITZAF, and CIMETD. This set corresponds to ten inequivalent local environments for N, spanning chemical shifts from  $-1.3$  to 249.5 ppm.

The final chemical shifts were obtained using the linear transformation:

$$\delta_{\text{calc}} = a \cdot \sigma_{\text{calc}} + b, \quad (1)$$

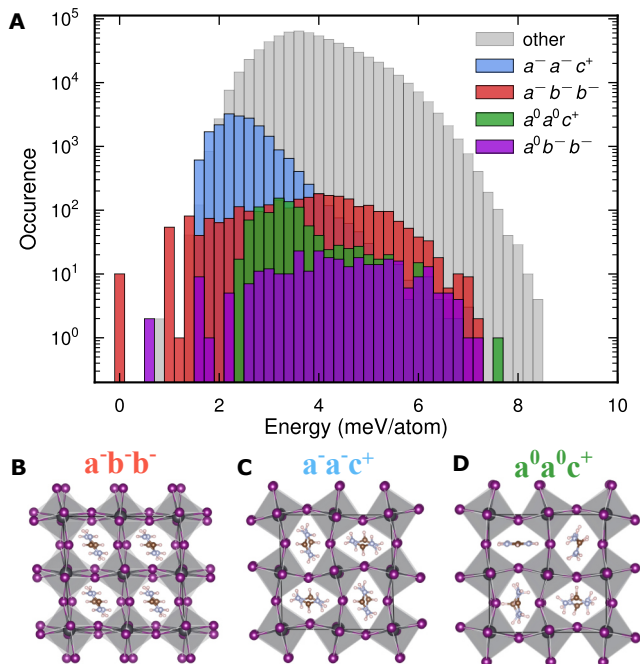


FIG. 1. (A) Energy distribution of fully relaxed perovskite phases of FAPbI<sub>3</sub> structures obtained by considering 1000 of different tilted structures with randomized FA orientations in  $2 \times 2 \times 2$  supercells of a corresponding primitive cell. Relevant low energy structures are marked with color. Structural view of (B)  $a^-b^-b^-$ , (C)  $a^-a^-c^+$ , (D)  $a^0a^0c^+$  phases are shown.

where the parameters  $a$  and  $b$  of  $-1.05$  and  $201.88$ , respectively, were determined empirically from regression analysis of the reference dataset.

### Dynamical structure factor from MD

We compute the dynamical structure factor from MD simulations using the DYNASOR package [39]. For each structure prototype, we run 40 independent simulations, each 100 ps long and average  $S(q, \omega)$  over all the runs. The total  $S(q, \omega)$  is given by the sum of the coherent and incoherent dynamical structure factors which are weighted with their respective neutron scattering lengths. The resulting vibrational spectra are dominated by hydrogen motion due to its large incoherent scattering length. Since hydrogen dynamics is mostly  $q$  independent, we sum  $S(q, \omega)$  over  $q$ -points between 0 and  $15 \text{ rad}/\text{\AA}$ . The spectrum is calculated at 10 K, which means that the classical spectra obtained from MD does not capture the correct quantum statistics (intensities of the peaks). Therefore, we rescale the spectrum by

$$S_{QM}(q, \omega) = \frac{\omega}{1 - \exp(\hbar\omega/k_B T)} S(q, \omega) \quad (2)$$

as described in Ref. [40].

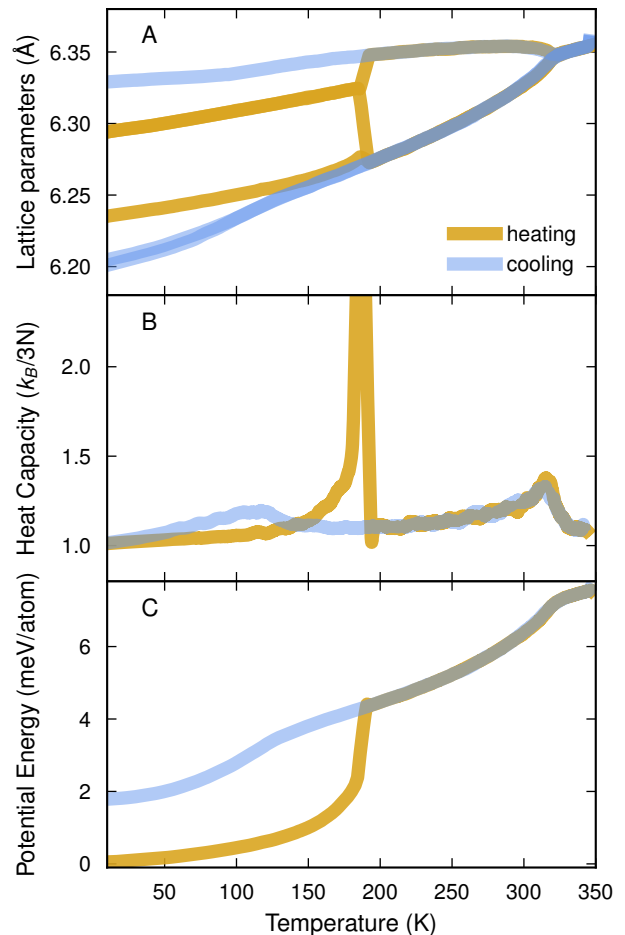


FIG. 2. (A) Lattice parameters, (B) heat capacity, (C) Energy from heating and cooling MD with  $6.34 \text{ K/ns}$  rate, respectively in FAPbI<sub>3</sub>.

## RESULTS

### Searching for the Lowest energy structure in FAPbI<sub>3</sub>

To understand the energy landscape of FAPbI<sub>3</sub>, we perform an extensive sampling of possible structures as shown in Fig. 1. About a million initial structures are created in  $2 \times 2 \times 2$  supercells of the cubic primitive cell, incorporating randomized FA orientations and tilt modes with random mode amplitudes for each Cartesian direction. We relax each structure until the largest force on any atom falls below  $0.1 \text{ meV } \text{\AA}^{-1}$ . The resulting perovskite structures are then classified into Glazer structures [28] by projection onto the M and R phonon modes (corresponding to octahedral tilting) as done in Refs. 25, 41, 42. The ground state (GS) perovskite structure is identified as  $a^-b^-b^-$  in the Glazer space as indicated in red in Fig. 1A. Fig. 1B shows the structure of  $a^-b^-b^-$  where all FAs are pointing in the same direction. The second lowest energy structure is identified as

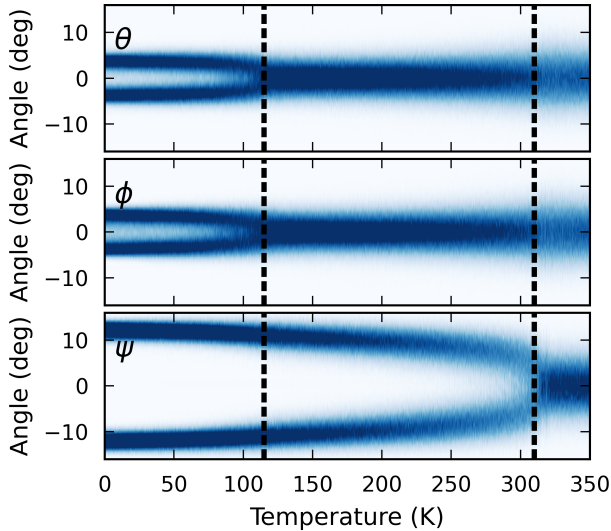


FIG. 3. Maps of tilt angles as a function of temperature from cooling MD runs. Dashed white lines represent two successive phase transitions from  $a^0a^0a^0$  to  $a^0a^0c^+$  and  $a^0a^0c^+$  to  $a^-a^-c^+$ -phase.

$a^0b^-b^-$ , which is structurally very similar to the ground-state but lacks a small out-of-phase tilt around the  $x$ -axis. We also identify other possible structures with small energy differences, competing with the GS structure seen in Fig. 1A. The atomic structures with preferred FA orientations of other relevant low energy structures, i.e.,  $a^-a^-c^+$  and  $a^0a^0c^+$  are shown in Fig. 1C and D, respectively. The total energies calculated using NEP and DFT are provided in the Table S1, demonstrating good agreement with DFT calculations.

### Behavior during Cooling and Heating

After identifying the most stable structure at 0 K, we now perform heating and cooling runs to assess the phase transitions and compare them with experimental findings. Phase transitions can readily be seen as discrete or continuous changes in the thermodynamic properties like energy, heat-capacity and lattice parameters. To check the rate effects, we run simulations with different heating and cooling rates considering a supercell which is equivalent to a  $16 \times 16 \times 16$  primitive cubic (12-atom) cell, and  $8 \times 8 \times 8$   $a^-b^-b^-$  (96-atom) cell. The convergence of the lattice parameter, energy, and heat capacity with respect to the heating and cooling rate can be found in Fig. S3.

Fig. 2 shows the mentioned parameters as a function of temperature with the slowest heating and cooling rate ( $6.34 \text{ K ns}^{-1}$ ). On heating, starting from the  $a^-b^-b^-$  structure, the simulation yield a transition to  $\beta$ -phase at

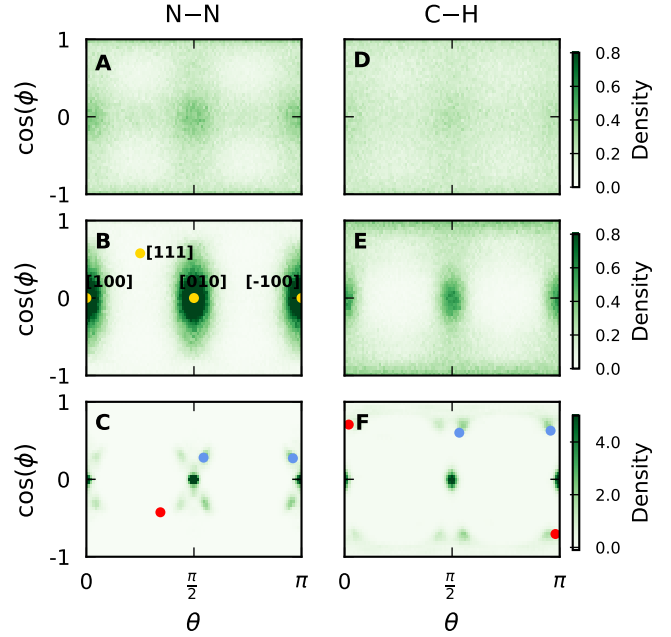


FIG. 4. Probability distribution  $P(\theta, \phi)$  of N–N vectors (A), (B), and (C), and of C–H vectors (D), (E), and (F) in  $a^0a^0a^0$ ,  $a^0a^0c^+$ , and  $a^-a^-c^+$  phases (top to bottom), respectively. This  $a^-a^-c^+$  phase is obtained from the cooling run. Here,  $\theta$  refers to angle in the  $x-y$  plane and  $\phi$  is angle to the  $z$ -axis. Position of the vectors in Cartesian coordinates are marked in red color in (B). The orientation of the N–N and C–H vectors of FAs in an ideal  $a^-a^-c^+$  phase and  $a^-b^-b^-$  phase are shown by the blue and red dots in (C) and (F), respectively.

about 190 K and then to  $\alpha$ -phase at about 315 K. In the cooling run the simulation captures the same  $\alpha$  to  $\beta$  transition, however, its transition into a different low temperature phase occurs at about 120 K, which is 2 meV/atom higher in energy than the ground-state. The low temperature transition thus exhibits hysteresis, and in the heating run appears to be of first-order in character. In contrast, the  $\beta$  to  $\alpha$ -phase transition is a continuous one.

Here, it is interesting to note again that the low temperature structure obtained from cooling in experiments is not fully understood [5, 6, 11, 13, 17, 18]. To determine whether the structure found in our cooling simulations corresponds to the one encountered in experimental studies, we therefore analyze it in more detail.

### Tilt angle analysis

To gain additional insight into the low temperature phase obtained from the cooling run, we first focus on the octahedra tilting patterns of the system at different temperatures. Here, we compute the  $\text{PbI}_6$  (see Fig. S4a) octahedral tilt angles in the perovskite structures during cooling MD simulations as done in Refs. 15 and 43.

First, the  $\text{PbI}_6$  octahedron is matched to a fully symmetric octahedron in an ideal cubic perovskite following the algorithm in Ref. 44 as implemented in OVITO [45], which generates the rotation and scales for optimal mapping. Functionality from the SCIPY package [46] is used to convert the rotation to Euler angles (see Fig. S4b for the definition of the Euler angles). Following Glazer’s approach [28], we choose the rotation which produces the angles in increasing magnitude among the three possible options.

The distribution of octahedral tilt angles over the entire temperature from the cooling run is shown in Fig. 3. The transition temperatures obtained from Fig. 2, are indicated by vertical dashed lines. In the high-temperature  $\alpha$ -phase, which can be described as  $a^0a^0a^0$  in Glazer notation, the tilt angle distributions are monomodal and centered around  $0^\circ$ . Next, in the  $\beta$ -phase, the  $\psi$  angle, which characterizes the tilt in the  $z$  direction, obtains an average value of about  $10^\circ$ , which upon visual inspection with OVITO can be identified as an in-phase tilting pattern. Glazer notation thus describes this  $\beta$  phase as  $a^0a^0c^+$ . The tilt angles  $\theta$  and  $\phi$  become non zero in the low temperature  $\gamma$  phase. After analysis of tilt patterns in all directions, we found that the  $c^+$  tilt from  $a^0a^0c^+$  structure becomes more robust with an average value of about  $15^\circ$  in the  $\gamma$ -phase. Additional out-of-phase tilt with a value of  $\theta = \phi \simeq 5^\circ$  appears along the  $x$  and  $y$  directions. Thus, one can characterize this  $\gamma$ -phase as  $a^-a^-c^+$  in the Glazer space. The snapshots obtained from the cooling simulation run, highlighting representative temperatures and corresponding octahedral tilt configurations, are shown in the Fig. S5. It is important to note that for another similar FA-based perovskite  $\text{FAPbBr}_3$  structure below 153 K also has been experimentally identified as the same  $a^-a^-c^+$  ( $Pnma$ ) phase [47].

As noted earlier, the structure we find upon cooling does not correspond to the GS structure of  $\text{FAPbI}_3$  identified in the previous section (Fig. 2). This suggests two possibilities: (i) our MD simulations do not reach the true low-temperature structure of  $\text{FAPbI}_3$  ( $a^-b^-b^-$ ) due to limitations in cooling rates, whereas experiments do, or (ii) the  $a^-a^-c^+$  structure represents a frozen metastable state, mirroring a physical scenario where  $\text{FAPbI}_3$  remains kinetically trapped during cooling instead of transitioning to the GS structure, which is also the case in experiments. To test these hypotheses, we will analyze the ordering and dynamics of FA molecules and compare simulated characteristics of the potential phases to experimental measurements.

### Ordering of FAs

To understand the local symmetry, we start looking at the molecule reorientation in different phases of  $\text{FAPbI}_3$ .

We consider the vector connecting the two N atoms,  $\mathbf{r}_{\text{NN}}$ , and the vector between C and H atoms,  $\mathbf{r}_{\text{CH}}$ , in a FA molecule as shown in Fig. S4c. We compute the orientation represented by the polar angle  $\phi$  and azimuthal angle  $\theta$  for each of them.  $\phi$  is the angle between  $\mathbf{r}_{\text{NN}}$  ( $\mathbf{r}_{\text{CH}}$ ) and  $z$  direction, and  $\theta$  denotes the angle in the  $xy$  plane. Fig. 4 represents the probability distributions over  $\theta$  and  $\phi$  ( $P(\theta, \phi)$ ) for N–N and C–H vectors in the three different phases of  $\text{FAPbI}_3$  from the cooling run.

In the high temperature  $a^0a^0a^0$  phase (at 330 K), the N–N and C–H vectors are homogeneously distributed, indicating an almost-free molecular rotation of FA molecules as shown in Fig. 4A and D. Once cooled down from the  $a^0a^0a^0$  to the  $a^0a^0c^+$ -phase (at 200 K), we notice a pattern appearing in the distributions, which is symmetric in the  $xy$  plane as shown in Fig. 4B and E, also observed by Tua *et al.* [6]. The N–N vectors are most likely to be aligned with the  $x$  ( $[100]$ ) and  $y$  ( $[010]$ ) directions. This arrangement of FA molecules in Fig. 1B and E is also reflected in the  $2 \times 2 \times 2$  supercell of  $a^0a^0c^+$  structure. The pattern is not as clear for C–H compared to N–N, however it shows some preferred orientations along  $[100]$ ,  $[010]$ , and  $[001]$  directions.

The distribution becomes sharper and changes again when cooled down into the  $a^-a^-c^+$  phase (at 10 K) for both N–N and C–H vectors (Fig. 4C, F). The distributions mostly retain the preferred orientations from the  $a^0a^0c^+$  phase but with four symmetric additional orientations appearing as “wings”. These wings correspond to the orientations found in the ideal  $a^-a^-c^+$  structure (shown in Fig. 1 C), as marked by the blue dots in Fig. 4C, F. However, the cooled structure differs from significantly from the ideal one, as it still has a large proportion of FA molecules stuck in the orientations characteristic for the  $a^0a^0c^+$  phase. Note here that the four symmetric “wings” each correspond to a symmetrically equivalent version of the  $a^-a^-c^+$  structure.

We also compare the FA orientations to that of the GS phase, represented as red dots in Fig. 4C and F. This orientation corresponds to a very low probability distribution at the temperatures close to the transition. Therefore, a significant free energy barrier likely prevents the FA molecules from aligning as in the GS phase, leading to structural freezing in a metastable state. To have a quantitative picture, we estimate that the free energy barrier for N–N vectors to align like in the GS phase using  $F = -k_B \ln(P(\theta, \phi))$ , where  $k_B$  is the Boltzmann constant, and find it to be more than 100 meV per FA at 200 K (see Fig. S6).

Next, we assess the ordering of FA molecules in the different relevant structures. This is done by analyzing the nearest neighbor correlation of N–N and C–H vectors as shown in Fig. S7 at different temperatures. The results highlight that the  $a^-a^-c^+$  structure found upon cooling is significantly more disordered than the ideal  $a^-a^-c^+$  and  $a^-b^-b^-$  phases. Notably, the ideal

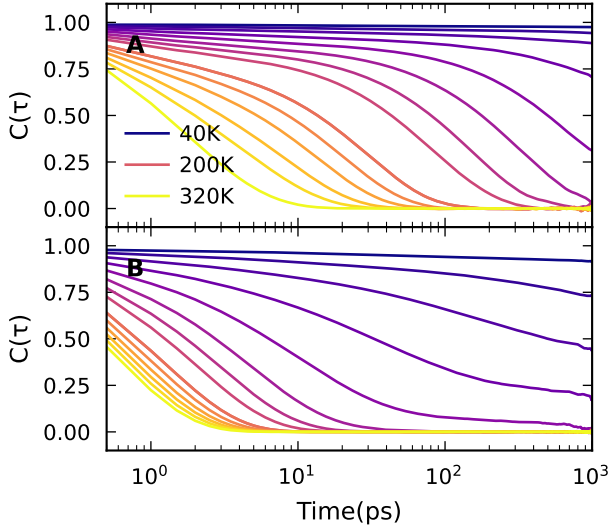


FIG. 5. Autocorrelation function  $C(\tau)$  for the orientation of (A) N-N and (B) C-H vector in FA units. The spacing between the line is 20 K.

$a^-a^-c^+$  phase loses its strong ordering (and approaches that of the cooled structure) when heated up to only 50 K, whereas the ground-state,  $a^-b^-b^-$ , remains very ordered indicating FAs are more locked into place in this phase.

These analyses of the FA orientational distributions and ordering demonstrate that the cooled structure has several different local FA orientations and environments, indicating more disorder compared to the ideal structures. This is qualitatively in agreement with experimental studies, which observe a significant degree of disorder in the low-temperature structure [11, 18].

### Rotational dynamics of FAs

Next, we analyze the rotational dynamics of FA molecules by calculating the orientational autocorrelation function (ACF) as defined in

$$C(\tau) = \frac{\langle \mathbf{r}_{\text{NN}}^i(t) \mathbf{r}_{\text{NN}}^i(t + \tau) \rangle}{\langle \mathbf{r}_{\text{NN}}^i(t) \mathbf{r}_{\text{NN}}^i(t) \rangle} \quad (3)$$

where  $\mathbf{r}_{\text{NN}}^i(t)$  ( $\mathbf{r}_{\text{CH}}^i(t)$ ) is the N-N (C-H) bond vector at time  $t$  for the  $i$ th FA molecule. To this end, we run MD simulations at several temperatures starting from the phase corresponding to those temperatures. The N-N (C-H) bond vector  $\mathbf{r}_{\text{NN}}$  ( $\mathbf{r}_{\text{CH}}$ ) of each FA unit is sampled in the NVE ensemble for 1000 ps (at the volume previously obtained from NPT runs). Fig. 5A and B represent the autocorrelation function (ACF) of the N-N, and C-H axis as a function of time, respectively. The ACF decays faster at high temperature, reflecting faster reorientation of the FA molecules in the high temperature

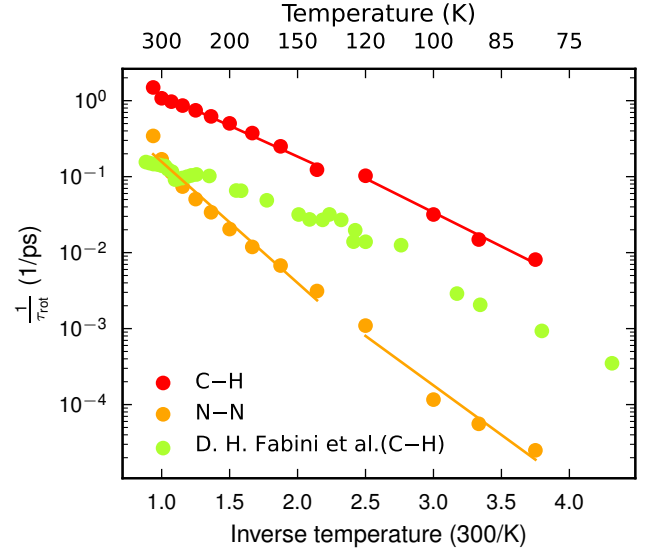


FIG. 6. Rotation rate ( $1/\tau_{\text{rot}}$ ) as a function of temperature. The solid lines correspond to Arrhenius fits mentioned in the text. Green symbols represent the data for rotation of C-H vectors from experiment for comparison [11].

phase. However, it decays more slowly with decreasing the temperature, indicating freezing of FA molecules.

The decay in the ACF can be modeled with an exponential function as  $C(\tau) \propto e^{-\tau/\tau_{\text{rot}}} + e^{-\tau/\tau_{\text{vib}}}$ , where  $\tau_{\text{rot}}$  denotes the rotational times, and  $\tau_{\text{vib}}$  accounts for vibrations of the FA molecule [14, 48]. The rotational times of the N-N and C-H vectors are shorter for the tetragonal  $a^0a^0c^+$  phase (above 120 K) than those of the low temperature phase (below 120 K). Fig. 6 displays estimation of the rotational time of N-N and C-H vectors. The rotational times of C-H axis measured in experiment [11] are in reasonable agreement with our predicted values. The offset between the present study and experiment can possibly be attributed to the the model accuracy and difficulties in capturing slow dynamics of FA molecules in MD.

Subsequently, we model the temperature dependence of the rotational time using the Arrhenius equation,  $1/\tau_{\text{rot}} \propto e^{-E_A/k_B T}$ , where  $E_A$  is the activation energy and  $k_B$  is the Boltzmann constant, which fits the data well. This yields the activation barrier of the rotational process for different phases, which are provided in Table I along with a comparison with literature. We find good agreement with experimentally measured and calculated values from Ref. 11. Furthermore, we note that the barrier for the N-N vector in the  $a^0a^0c^+$  phase, 94.9 meV, is consistent with the barrier obtained from the free energy landscapes at 200 K (Fig. S6).

Lastly, we compare the dynamics of FAs obtained above with the GS structure, Fig. S9. Interestingly, the ACF in the GS phase indicates that all the FA molecules

TABLE I. Activation energy barriers in meV for molecular rotation along the N–N and C–H axes from the present study and the available literature [11] for the  $a^0a^0c^+$  and  $a^-a^-c^+$  phases of FAPbI<sub>3</sub>.

	$a^0a^0c^+$		$a^-a^-c^+$	
	C–H	N–N	C–H	N–N
Experiment [11]	45	–	84	–
DFT [11]	39	–	63	–
NEP	48.5	94.9	53.3	77.5

are frozen with  $C_i(\tau) \sim 1$  throughout the time range (10 ns) and up to 120 K. A rough estimate of the rotational time for the very flat ACF at 120 K in the GS is at least 20  $\mu$ s. This suggests that the FAs in this phase do not rotate, unlike in the experimentally observed low temperature phase where they rotate on a nanosecond time scale at these temperatures. This indicates that the experimental phase does not reach the ground-state structure and that the kinetic trapping observed in our simulations reflects a physically realistic metastable state.

### Experimental verification

To further validate the low-temperature phase found in simulations, we compare our results with nuclear magnetic resonance (NMR) spectroscopy and inelastic neutron scattering (INS) experiments at 95 K and 10 K, respectively. The NMR spectra provide insight into the local environment of FA in the  $\gamma$ -phase, revealing structural changes upon repeated freeze-thaw cycles. While the <sup>13</sup>C spectra are identical for each of the three freeze-thaw cycles (Fig. 7A), the <sup>15</sup>N spectra show a distribution of several overlapping signals with slight differences in their relative population between each cycle (Fig. 7B), suggesting that the local structure can change in each freezing event. To better understand the origin and variability of the <sup>15</sup>N lineshape, we perform chemical shield calculations (Fig. 7C). These calculations are carried out on the ground state  $a^-b^-b^-$  structure and the cooled  $a^-a^-c^+$  structure. In the ordered  $a^-b^-b^-$  phase, all N atoms are equivalent, resulting in a single chemical shift value. In contrast, the disordered cooled structure exhibits a broad distribution of <sup>15</sup>N chemical shifts due to variations in the local environment. We note that our calculations systematically underestimate the absolute chemical shift values compared to the experiment, which is expected as they do not include spin-orbit coupling effects. Additionally, since they are performed in rather small supercells, they do not exactly reflect the distribution of FA orientations. Nevertheless, our calculations qualitatively demonstrate that the experimentally observed distribution of <sup>15</sup>N chemical shifts can only be

explained by cation disorder, as found in the cooled structure. This also allows us to again rule out the ordered ground state structure as the one present in the experiments.

Fitting of the experimental <sup>15</sup>N low-temperature MAS NMR data shows eight distinct sites, whose relative populations vary from cycle to cycle (Fig. 7D–F). This small number of well-defined FA local environments at 95 K is consistent with the result of our MD run where we found that, in the low temperature phase, the N–N and C–H vectors point in a limited number of directions.

We next compare the  $a^-a^-c^+$  structure, identified in our simulations as the best representation of the low-temperature  $\gamma$  phase of FAPbI<sub>3</sub>, with the experimental data previously reported in the literature. Single-crystal X-ray diffraction on the  $\gamma$  phase has been challenging since around 100 K the Bragg peaks substantially broaden and split, leading to many unindexed reflections and preventing structure refinement [49]. On the other hand, structural information on this low-temperature phase can also be accessed through the vibrational signatures of FA obtained in inelastic neutron scattering experiments [12]. Here, we compare the vibrational spectra computed for our  $a^-b^-b^-$ , ideal  $a^-a^-c^+$ , and  $a^-a^-c^+$  structures obtained from MD runs to the experimental data (Fig. 8).

We compute the dynamical structure factor, which is dominated by hydrogen motion due to its large incoherent scattering length. We find that the spectra obtained from MD simulations starting from the ideal  $a^-b^-b^-$  and  $a^-a^-c^+$  structures contain sharp peaks, whereas the spectrum for the structure found upon cooling is substantially broader and agrees well with the experimental spectrum. This is likely due to the uniform FA ordering and local environments in the ideal structures, which result in sharp peaks, whereas the more disordered cooled structure exhibits a broader spectrum due to the presence of multiple distinct hydrogen environments. This agreement suggests that the  $a^-a^-c^+$  structure obtained from the cooling run closely resembles the experimentally observed low-temperature  $\gamma$  phase. Therefore, we conclude that our model likely provides an accurate atomic-level description of the disordered  $\gamma$  phase.

## DISCUSSION

The insights gained from our analysis shed light on the low-temperature phase of FAPbI<sub>3</sub>. MD simulations are inherently limited by timescale and computational constraints, often resulting in faster cooling rates and inadequate sampling of the energy landscape. This limitation frequently leads to kinetic trapping in local minima. For instance, while the true GS of MAPbI<sub>3</sub> is the orthorhombic  $a^-a^-c^+$  phase, cooling MD simulations result in the intermediate tetragonal  $a^0a^0c^-$  phase persisting down to

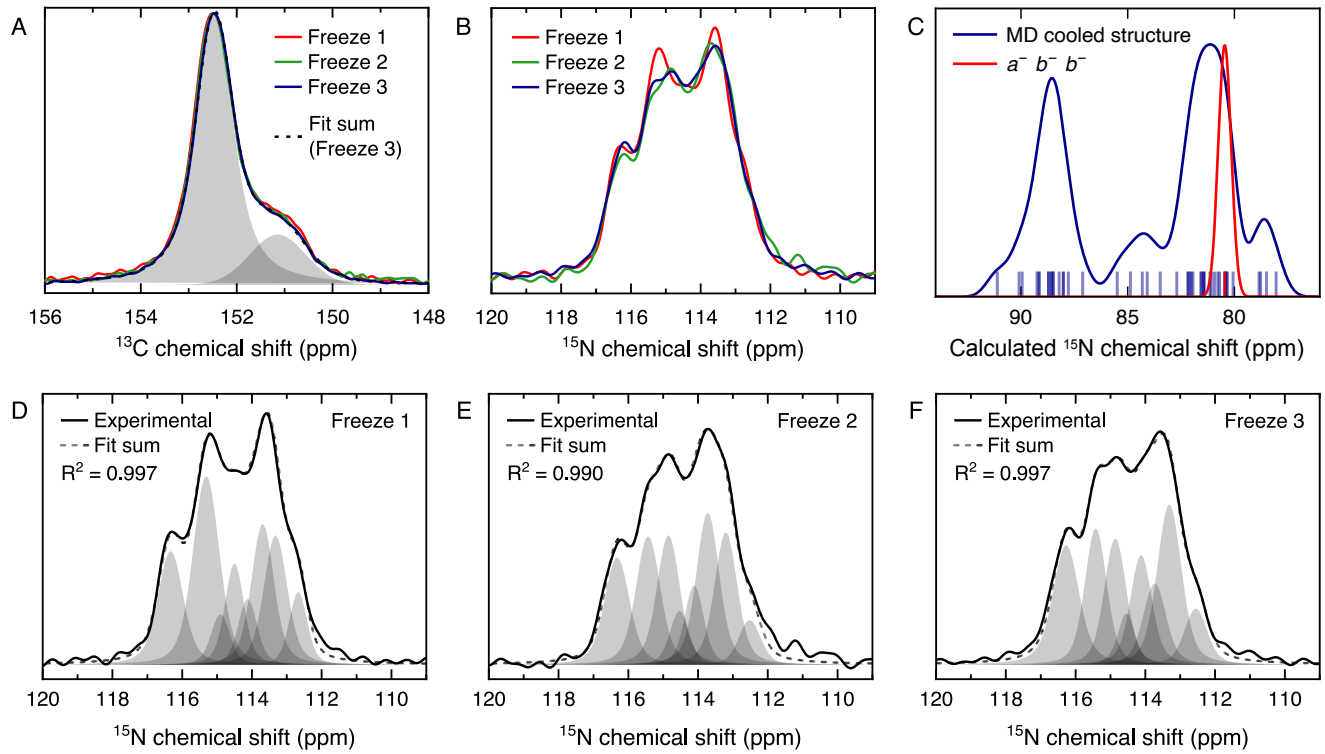


FIG. 7. Low-temperature  $^1\text{H}$ - $^{13}\text{C}$  (A) and  $^1\text{H}$ - $^{15}\text{N}$  (B) cross polarisation MAS NMR spectra (9.4 T, 8 kHz) of 3D  $\text{FAPbI}_3$  single crystals acquired at 95 K during three sequential freeze-thaw cycles. (C) Calculated  $^{15}\text{N}$  chemical shift distribution for 3D  $\text{FAPbI}_3$  at 95 K. (D-F) Fitting of  $^{15}\text{N}$  spectra from each freeze event demonstrating that overall spectra are the cumulative result of varying the population of eight distinct signals.

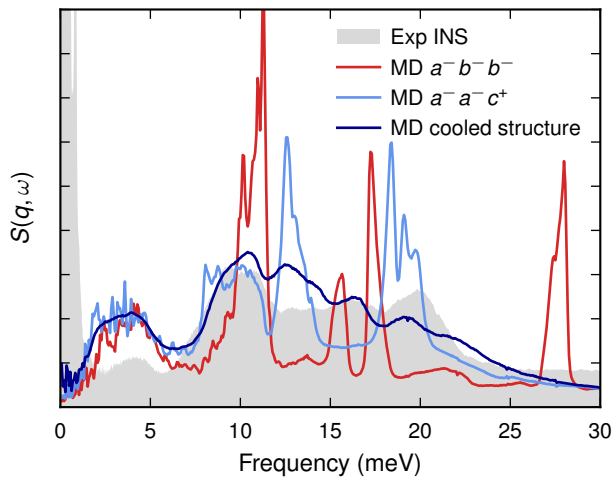


FIG. 8. Simulated inelastic neutron scattering spectra,  $S(q, \omega)$ , for three different structures compared to the experimental spectra from Ref. 12 at 10 K. Here,  $a^-b^-b^-$  and  $a^-a^-c^+$  refers to the structures found from the ground-state search carried out in Fig. 1, and cooled structure refers to the structure found upon cooling. The experimental and simulated spectra are scaled with an arbitrary constant to make them appear on the same scale.

0 K. Similarly, in  $\text{FAPbI}_3$ , the  $a^-a^-c^+$  phase is identified as a local minimum below 120 K in cooling MD runs. Notably, existing literature remains inconclusive about the low-temperature phase of  $\text{FAPbI}_3$ , highlighting the need for further clarification. Our study identifies the  $a^-b^-b^-$  phase as the GS and investigates the factors that might prevent the system from transitioning to this state. Specifically, we analyze two components that can influence the system's behavior: (i) the inorganic framework, focusing on tilting patterns, and (ii) the organic framework, analyzing the orientation preferences and rotational dynamics of FA molecules.

The transition from the tetragonal  $a^0a^0c^+$  phase to the orthorhombic  $a^-b^-b^-$  GS requires switching from in-phase to out-of-phase tilts relative to the  $c$ -axis. This transition involves an energy barrier that likely stabilizes the  $a^-a^-c^+$  phase by preserving the in-phase tilt along the  $c$ -direction. Focusing on the organic part of the system, the FA molecules exhibit distinct behavior in different phases. In the GS  $a^-b^-b^-$  phase, the FA molecules are highly ordered, as evidenced by sharp peaks in the simulated inelastic neutron spectra (Fig. 8). The cooled  $a^-a^-c^+$  phase exhibits significant disorder, also reflected in the broader peaks in its NMR spectra (Fig. 7), which closely resemble experimental results and align with the



observed structure in experiments.

Moreover, transitioning from the  $a^-a^-c^+$  phase to the GS requires the FA molecules to overcome an additional energy barrier exceeding  $100 \text{ meV atom}^{-1}$  to adopt the ordered orientation of the GS phase. This observation is corroborated by the extended rotational relaxation times of FA molecules at lower temperatures (Fig. 6), indicating a “freezing” effect.

Thus, the freezing of FA molecules appears to be an intrinsic feature of FAPbI<sub>3</sub>, locking the system in the metastable  $a^-a^-c^+$  phase. This phenomenon might explain some of the uncertainties in experimental studies of FAPbI<sub>3</sub> and highlights the local structural variability and the complexity of its underlying dynamics.

## CONCLUSIONS

In conclusion, we use an interatomic machine-learned potential to investigate phase transitions and the dynamics of FA cations, aiming to clarify the low-temperature phase of FAPbI<sub>3</sub>. Our simulations show good agreement with experiments, reproducing the two successive phase transitions: from cubic  $a^0a^0a^0$  to tetragonal  $a^0a^0c^+$ , and from tetragonal  $a^0a^0c^+$  to the low-temperature phase, which we identified as the  $a^-a^-c^+$  phase. Furthermore, our structural search identifies the global GS as the orthorhombic  $a^-b^-b^-$  phase.

As previously noted, our simulations do not reach the GS  $a^-b^-b^-$  phase but instead, become kinetically trapped in a local minimum, consistent with findings from existing experimental studies. This trapping can be attributed to the preferred orientations of FA molecules, which create a complex energy landscape with numerous shallow local minima. Our analysis suggests that in the  $a^-a^-c^+$  phase, FA molecules are effectively “frozen”, exhibiting very slow rotational dynamics—a behavior also observed in experiments.

Additionally, the transition from the disordered  $a^-a^-c^+$  phase to the GS  $a^-b^-b^-$  phase involves significant energy barriers. These barriers arise from the need to switch the inorganic tilting pattern from in-phase to out-of-phase and to achieve the highly ordered orientation of FA molecules in the GS phase. Overcoming these barriers is particularly challenging for the disordered  $a^-a^-c^+$  phase.

We believe that this work provides new insights into the low-temperature phase of FAPbI<sub>3</sub>, offering a detailed explanation of FA dynamics and the factors influencing kinetic trapping. Our findings help resolve existing ambiguities in the literature and advance our understanding of the structural and dynamic complexities of this material.

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We thank Rasmus Lavén and Maths Karlsson for providing us with their inelastic neutron scattering data for FAPbI<sub>3</sub> and fruitful discussions.

## DATA AVAILABILITY STATEMENT

The raw NMR and XRD data are available on Zenodo: [\[link to be added at proof stage\]](#)

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## Supporting Information

# Revealing the Low Temperature Phase of FAPbI<sub>3</sub> Using A Machine-Learned Potential

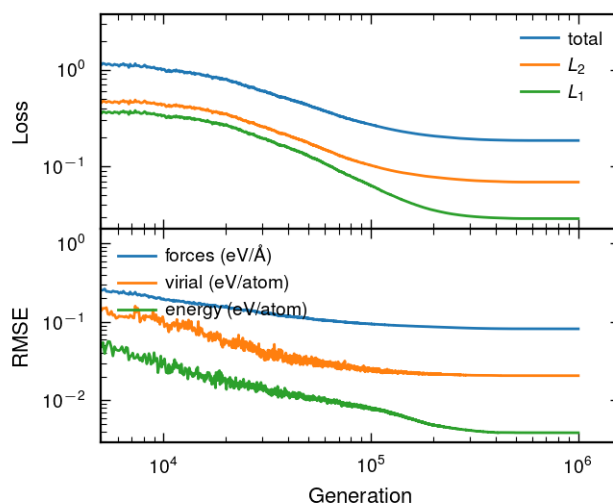
Sangita Dutta<sup>1,\*</sup>, Erik Fransson<sup>1</sup>, Tobias Hainer<sup>1</sup>, Benjamin M. Gallant<sup>2</sup>,  
Dominik J. Kubicki<sup>2</sup>, Paul Erhart<sup>1</sup>, and Julia Wiktor<sup>1,\*</sup>

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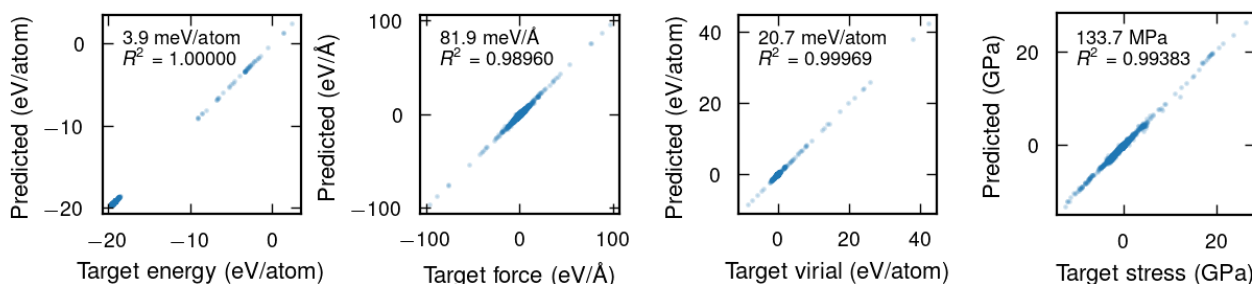
<sup>2</sup>School of Chemistry, University of Birmingham, Edgbaston, B15 2TT, United Kingdom

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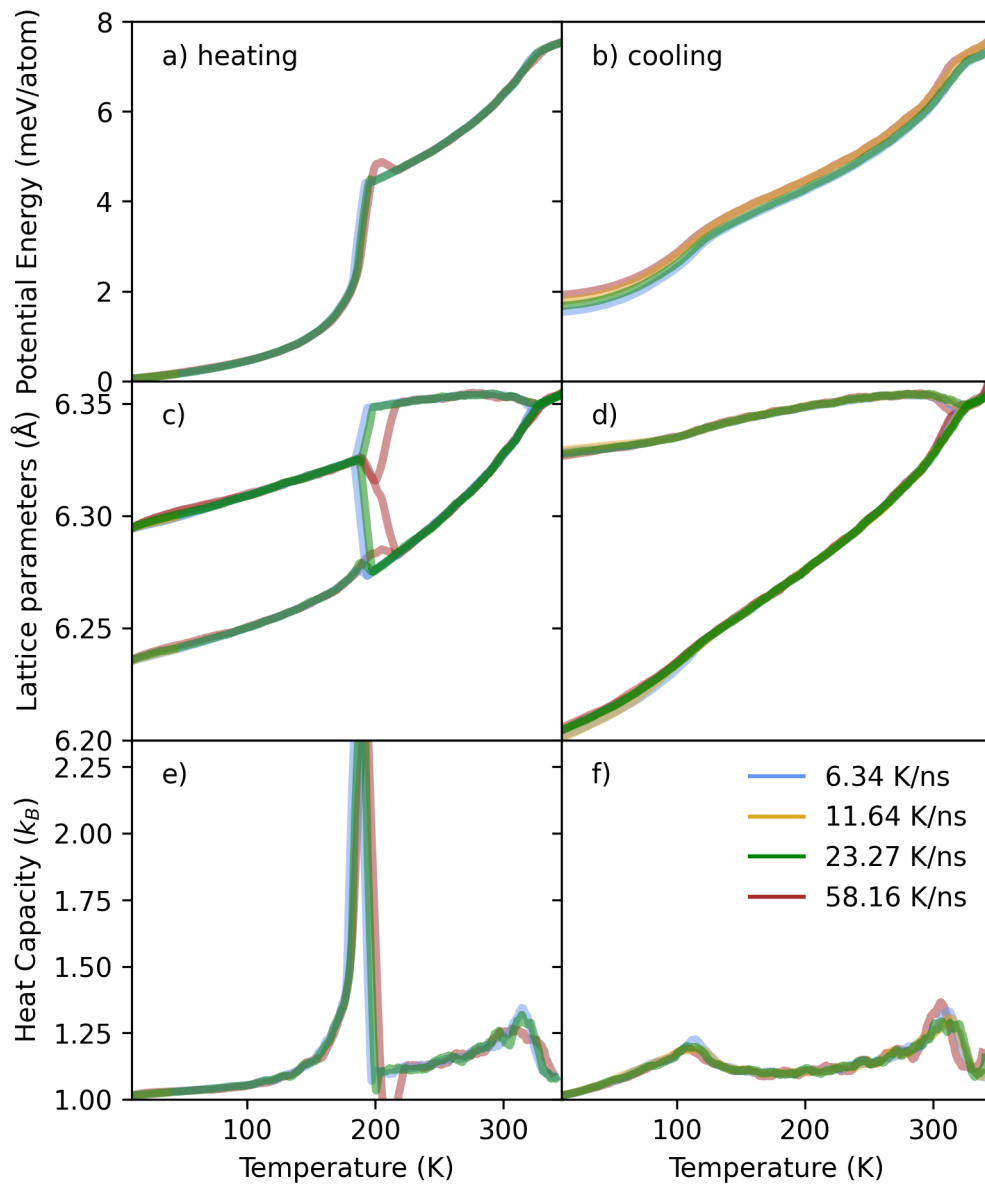
## Supplementary Figures



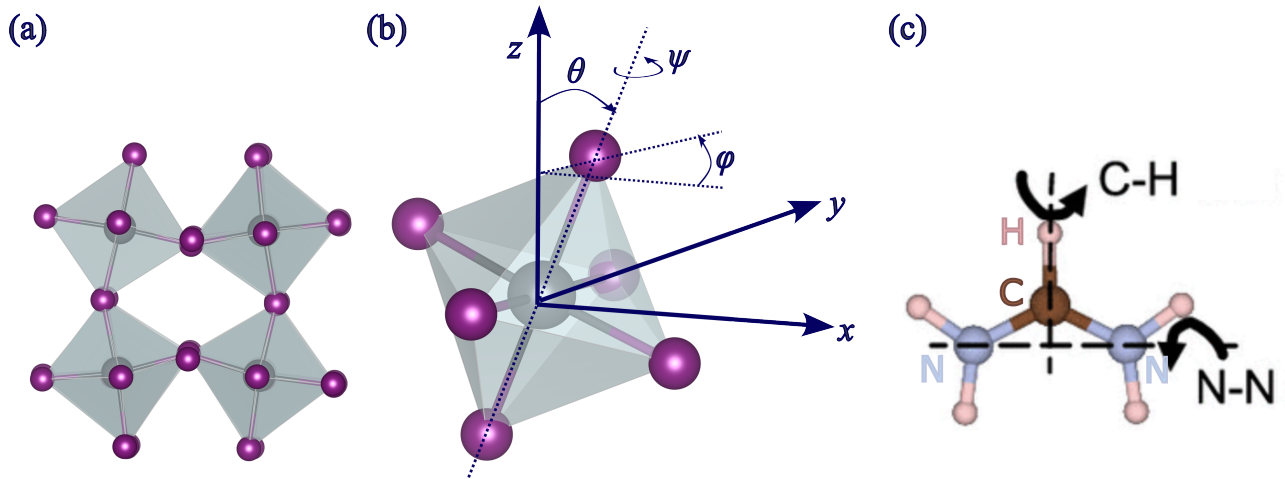
**Fig. S1:** Evolution of total loss as well as its individual contributions during training of the full model for FAPbI<sub>3</sub> based on the SCAN-VV10 functional.  $L_1$ ,  $L_2$ : contribution from  $L_1/L_2$ -norm of parameter vector.



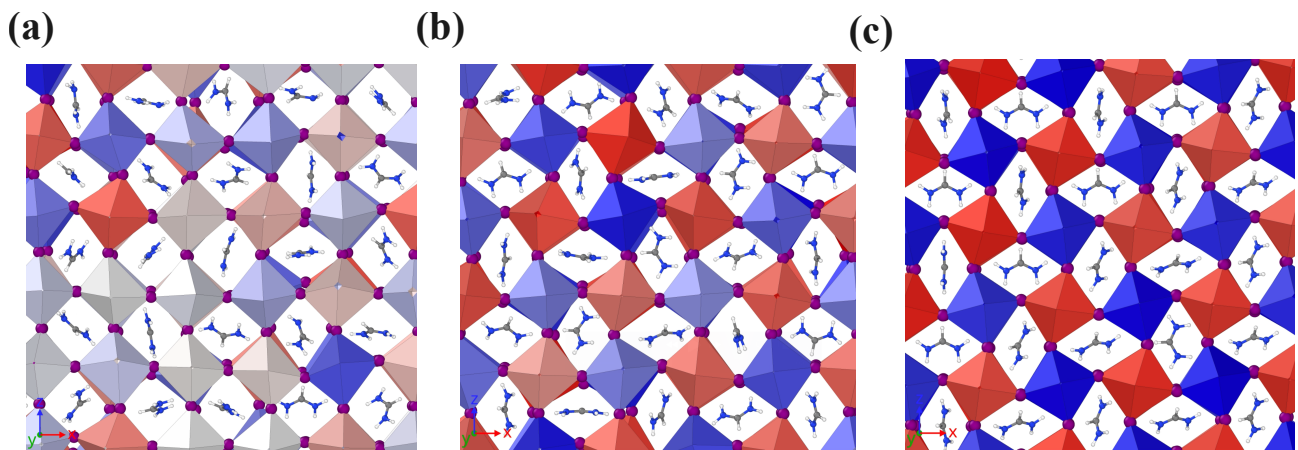
**Fig. S2:** Parity plots for total energies, forces, virials, and stresses for full model for FAPbI<sub>3</sub> based on the SCAN-VV10 functional.



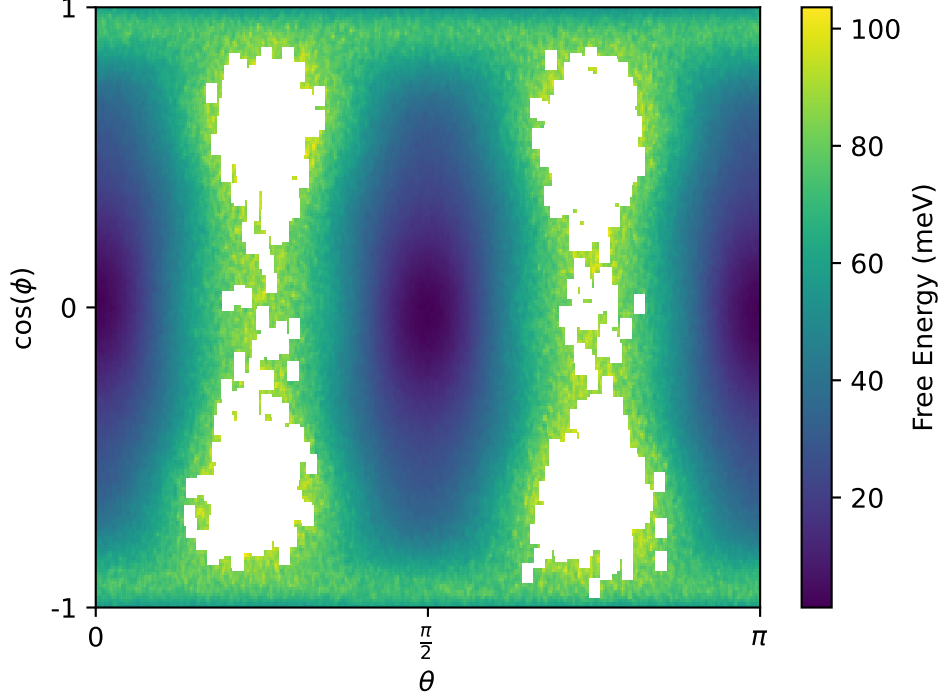
**Fig. S3:** (a) Potential energy, (b) lattice parameters, and (c) heat capacity, respectively in FAPbI<sub>3</sub> with different cooling rates. The energy difference between the structures with the highest and lowest cooling rates is 0.38 meV/atom.



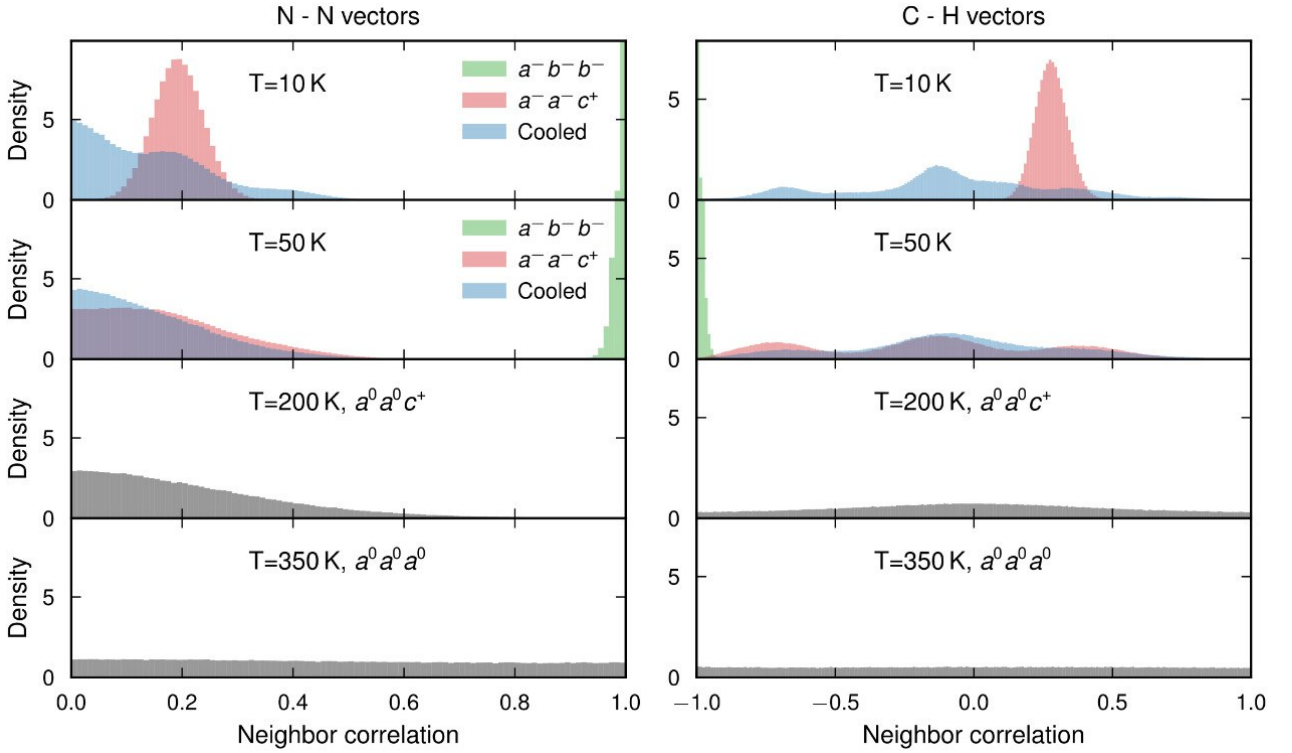
**Fig. S4:** (a) FAPbI<sub>3</sub> is represented using PbI<sub>6</sub> octahedra. (b) Define the three Euler angles  $\theta$ ,  $\phi$ , and  $\psi$  and describe the octahedron's orientation. (c) Represent the FA molecule indicating two rotational axis N–N, and C–H, reproduced from Ref. 1.



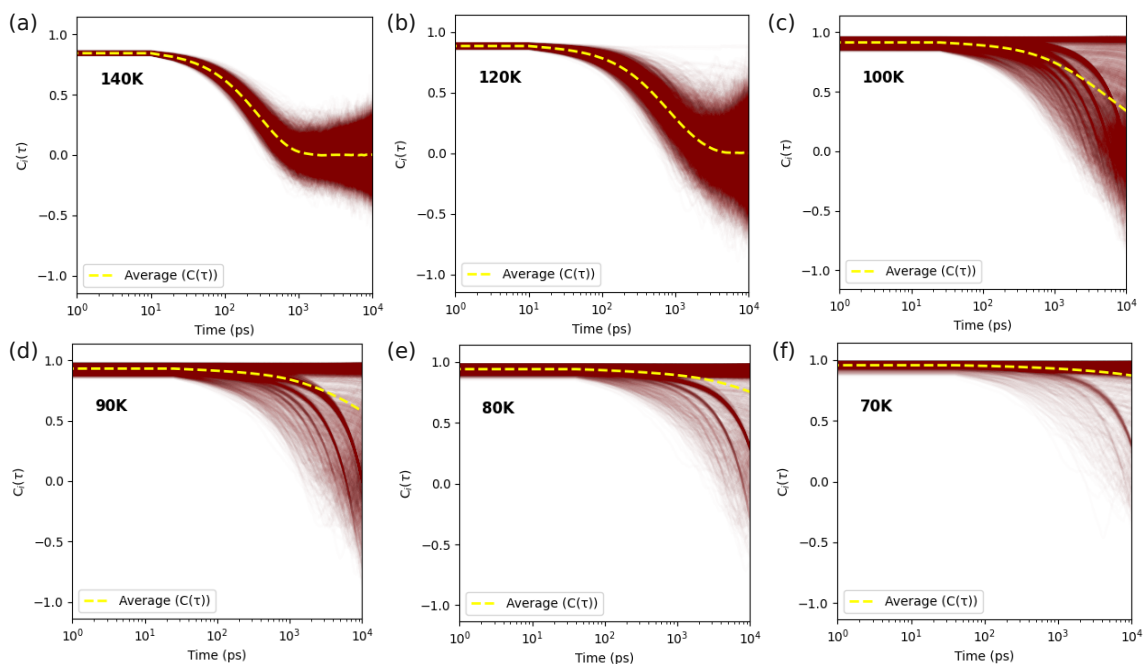
**Fig. S5:** Snapshots from the cooling molecular dynamics (MD) run, illustrating the evolution of octahedral tilts in FAPbI<sub>3</sub> at different temperatures (a) 330 K ( $a^0a^0a^0$ ), (b) 220 K ( $a^0a^0c^+$ ), and (c) 10 K ( $a^-a^-c^+$ ). The color coding of the octahedra correspond to tilt angle along the z-axis (out of the screen/paper), where red corresponds to  $-15^\circ$  and blue to  $15^\circ$ .



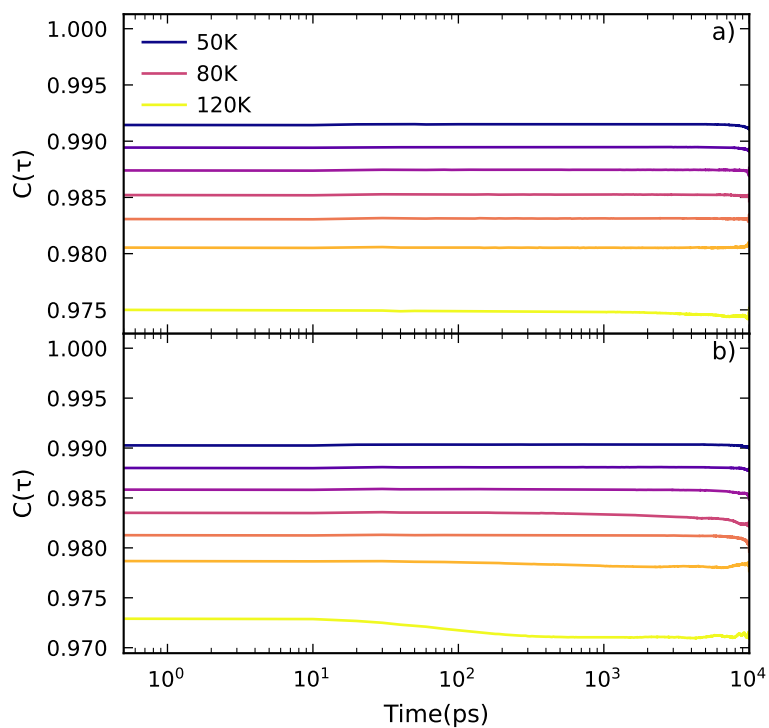
**Fig. S6:** Free energy distribution  $F(\theta, \phi)$  of N–N vectors at 200 K in  $a^0 a^0 c^+$ -phase of FAPbI<sub>3</sub>. Here,  $\theta$  refers to angle in the  $x - y$  plane and  $\phi$  is angle to the  $z$ -axis. The white regions correspond to undefined free energy where the probability density of the N–N vectors is zero.



**Fig. S7:** Nearest neighbor correlation of N–N ( $r_{NN}^i \cdot r_{NN}^j$ ) and C–H ( $r_{CH}^i \cdot r_{CH}^j$ ) vectors in  $a^- b^- b^-$  (in green), ideal  $a^- a^- c^+$  (in pink) and  $a^- a^- c^+$  obtained from MD cooling run (in blue), where 0 indicates two vectors are orthogonal to each other, and 1 indicates they are perfectly aligned.



**Fig. S8:** The ACF of N–N for each FA molecule in the system as a function of time before and after second phase transition, at (a) 140 K, (b) 120 K, (c) 100 K, (d) 90 K, (e) 80 K, and (f) 70 K. The number of frozen FA molecules increases with decreasing the temperature.



**Fig. S9:** Autocorrelation function  $C(\tau)$  for the orientation of (a) N–N and (b) C–H vector in FA units in the GS phase ( $a^- b^- b^-$ ). The spacing between the line is 10 K.



## Supplementary Tables

**Table S1:** Total energies (in meV/atom) of the low-lying FAPbI<sub>3</sub> structures identified from our structural search, calculated using DFT and NEP potentials.

Structures	DFT		NEP	
	Energy	Reference Energy	Energy	Reference Energy
$a^-b^-b^-$	-19.6431	0.0	-19.6451	0.0
$a^0b^-b^-$	-19.6417	1.7	-19.6445	0.6
$a^0a^0c^+$	-19.6394	3.7	-19.6427	2.4
$a^-a^-c^+$	-19.6391	4.0	-19.6435	1.6

**Table S2:** Summary of experimental parameters for all solid-state NMR measurements reported in this work.

Sample	Experiment	Recycle Delay (s)	Number of Scans	Experiment Time (minutes)
freeze 1	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{13}\text{C}$ CP	2.0	624	21
	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{15}\text{N}$ CP	2.0	3400	116
freeze 2	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{13}\text{C}$ CP	2.0	624	21
	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{15}\text{N}$ CP	2.0	3908	133
freeze 3	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{13}\text{C}$ CP	2.0	624	21
	$\{^1\text{H}\}\text{-}^1\text{H}\text{-}^{15}\text{N}$ CP	2.0	2068	70

## Supplementary References

- [1] R. Lavén, M. M. Koza, L. Malavasi, A. Perrichon, M. Appel, and M. Karlsson, *The Journal of Physical Chemistry Letters* **14**, 2784 (2023).